DGA Quarterly Meeting
10:00 a.m. to Noon
Tuesday, March 10, 2020
Gerlinger Hall

SPS Pre-award updates

SPS QRC Updates, Cover Page, and Budget Template Updates

Reminder: The SPS Quick Reference Guide (QRC) is periodically updated. Please reference the current uploaded version when assembling proposal budgets instead of saving old versions of the QRC which may be out-of-date to your computer.
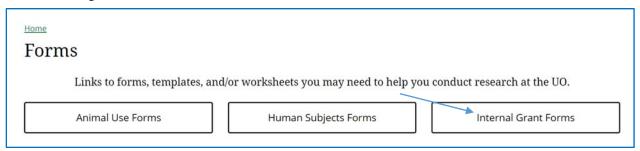
Reminder: The SPS Budget Templates are periodically updated. Please reference the current uploaded versions of the Budget Templates when assembling proposal budgets instead of saving old versions which may be out-of-date to your computer.

https://research.uoregon.edu/apply

To access the Excel SPS budget templates either download a Budget template directly from the EPCS record, or go to "Quick Links" then click on "Forms."

Then Internal grant forms

Home

Forms

Links to forms, templates, and/or worksheets you may need to help you conduct research at the UO.

| Animal Use Forms | Human Subjects Forms | Internal Grant Forms |

Next Budget Template or scroll down

Budget Templates	F&A (Indirect Costs)	Refreshments/Meals at Meetings
Contracts	Faculty/Fellowship Awards	Registration Forms
Cost Share	Pre-Award Spending	Subrecipients/Subawards
Cost Transfers	Progress Reporting (All Personnel)	Travel

BUDGET TEMPLATES

FORM NAME	FORM TYPE	LAST UPDATE
R&R 1 to 5 Year Detailed Budget	[XLS]	21-Jan-20
R&R Budget Justification Simple Template	[DOC]	23-Dec-19
NIH Modular Grant Budget	[XLS]	21-Jan-20
Fixed Price Contract Budget Template	[XLS]	21-Jan-20
Industry Budget Template	[XLS]	21-Jan-20

For the Quick Reference Card (QRC), you may access by clicking on the Intuitional Info link under Quick Links. This page also contains access to the Proposal Cover Page Information.

NSF Update

The NSF Proposal & Award Policies & Procedures Guide (PAPPG), NSF 20-1, becomes Effective June 1, 2020

Some selected items from the Significant Changes and Clarifications are noted below:
SIGNIFICANT CHANGES

1. **Biographical Sketches,** has been modified to require use of **an NSF-approved format in submission** of the biographical sketch. NSF will only accept PDFs that are generated through use of an NSF-approved format. These formats include SciENcv (Science Experts Network Curriculum Vitae) and an NSF fillable PDF form. SciENcv will produce an NSF-compliant PDF version of the biographical sketch. The NSF fillable form is not yet available, but NSF's planned release date is this month, March 2020.

2. **Current and Pending Support,** has been modified to require use of an NSF-approved format in submission of current and pending support information. NSF will only accept PDFs that are generated through use of an NSF-approved format. As with the Biographical Sketches, the only two compliant formats beginning June 1, 2020 will be SciENcv and an NSF fillable PDF form.

   NSF has also clarified longstanding requirements regarding submission of current and pending support information. Information must be provided for all current and pending support irrespective of whether such support is provided through the proposing organization or directly to the individual. NSF has also expanded their language on in-kind contributions in NSF 20-1.

3. **Rapid Response Research (RAPID) Proposal and EArly-concept Grants for Exploratory Research (EAGER) Proposal:** A new requirement that email documentation from a cognizant NSF Program Officer approving submission of a RAPID or EAGER be uploaded to the proposal also has been added. Although it was always required for the PI to contact the NSF Program Officer before submission to these programs, the change here will require this concurrence letter to be uploaded as a Supplementary Document.

CLARIFICATIONS AND OTHER CHANGES

4. **Chapter II.C.1.e, Collaborators & Other Affiliations Information,** has been updated to remove language requiring the user to save the format in .xlsx, as well as deletion of coverage on the conversion of the file by FastLane to PDF. Guidance has been added to clarify that the instructions at the top of the template may be deleted and rows may be inserted as needed to provide additional names.

5. **Project Description,** has been revised to remove the requirement for the Project Description to contain, as a separate section within the narrative, a section labeled "Intellectual Merit". In addition, another example has been added to the list of societally relevant outcomes in the broader impacts paragraph. The list is not meant to be either comprehensive or prescriptive; proposers may include appropriate outcomes not covered by the examples.

6. **Chapter II.C.2.f(i)(d), Synergistic Activities,** has been updated to clarify that synergistic activities should be specific and **must not include multiple examples** to further describe the activity.

7. **Chapter II.C.2.g, Budget and Budget Justification,** has been supplemented with a reminder that proposers should check solicitations for additional budgetary preparation requirements for mid-scale and major facilities proposals. In section II.C.2.g.(v) on Participant Support (Page II-17), language on costs related to service agreements/contracts has been revised to improve clarity – **These costs should be listed on line G.6. "Other Direct Costs" on the budget justification.** A similar change has been made to section II.E.7, Meals and Coffee Breaks. **Although local participants may participate in conference meals and coffee breaks, funds may not be proposed to pay per diem or similar expenses for local participants in the conference**. In addition, section II.C.2.g.(vi)(b) has been supplemented with additional types of costs that may appear under "Publication/Documentation/Dissemination" on Line G.2 of the NSF budget. Definitions for each of the categories are provided via footnote.

8. **Changes in Person-Months Devoted to the Project and Withdrawal of PI/PD or co-PI/co-PD,** have been updated to reflect that such **requests must be submitted at least 30 days** before the proposed change, or as soon as practicable after the prospective change/withdrawal is known.

9. **Just a reminder, please tell your PIs to continue to use Fastlane for assembly and submission of NSF proposals. Sponsored Projects Services will advise the UO Research Community when assembly and submission of proposals using Research.gov is recommended.**

NIH Update

NIH Forms F: NIH FORMS-F will be required for any NIH application for due dates on or after May 25, 2020. We will continue using FORMS-E for due dates prior to May 25, 2020

1. NOT-OD-20-070 establishes stipend levels for fiscal year (FY) 2020 Kirschstein-NRSA awards for undergraduate, pre-doctoral, and post-doctoral trainees and fellows. Please reference this notice for Stipends, Tuition/Fees and Other Budgetary Levels Effective for Fiscal Year 2020

2. Executive Level II Salary Limits (the NIH Salary CAP) has been increased to $197,300. UO personnel at or above this CAP should be budgeted at the NIH Salary CAP on proposals submitted for NIH Funding ($147,975 Academic Year and $49,325 Summer).

3. NIH has published a revised NIH Grants Policy Statement (NIHGPS, rev. December 2019). This revision is applicable to all NIH grants and cooperative agreements with budget periods beginning on or after October 1, 2019. While this revision does not introduce any new material for the first time, it incorporates new and modified requirements, clarifies certain policies, and implements changes in statutes, regulations, and policies that have been implemented through appropriate legal and/or policy processes since the previous version of the NIHGPS dated October 2018. The

document is available in the following electronic formats: HTML and PDF (http://grants.nih.gov/grants/policy/nihgps/index.htm).

4. **Reminder: NIHGPS 2.3.7.1 Applications That Include Consortium/Contractual F&A Costs:** For FOAs that include a direct cost limit, NIH policy excludes consortium/contractual F&A when determining if an applicant is in compliance with the direct cost limitation. This policy extends to all soli-cited and investigator-initiated applications and to all active announcements (Request for Applications and Program Announcements), involving consortium/contractual F&A costs, regardless of budget amount or budget format (e.g., modular and non-modular). While consortium F&A costs may be requested and awarded, applicants should not consider these costs when determining if a budget exceeds a direct cost limit.

5. **Reminder: NIHGPS 2.3.7.2 Acceptance for Review of Unsolicited Applications Requesting $500,000 or More in Direct Costs:** Any applicant requesting $500,000 or more in direct costs (excluding consortium F&A costs) in any one budget period is required to contact the IC PO, in writing or by telephone, as early as possible during development of the application, but no later than 6 weeks before submission for prior approval. This requirement applies to a single grant application, whether a new, renewal, revision, or resubmission application, under any NIH support mechanism; it also applies to a group of applications, such as those for clinical trial networks, meeting the $500,000 threshold in the aggregate even if no single application in the group requests that much.

   This policy does not apply to applications submitted in response to RFAs or to other announcements that include specific budgetary limits. However, any such application must be responsive to budgetary limits specified or NIH will administratively withdraw the application and it will not be reviewed or considered for funding.

   The PD/PI must include a cover letter with the application identifying the PO contacted and the IC that has agreed to accept assignment of the application. CSR will accept such applications for review only if an IC has agreed to accept the application for consideration and the applicant submits with its application a letter to that effect with the name of the authorizing program staff member and IC affiliation. An application subject to this policy that does not include the required information in the cover letter will be administratively withdrawn and will not be reviewed or considered for funding.

6. **Reminder: Requests for Just-in-time information:** Best Practice for UO departments/PIs in all situations for any project, is that when the JIT is received for a project with Animal or Human Subjects approval pending, is to submit the application materials to Animal Care Services at the time of receiving the JIT request. NIH will delay issuing an award until protocol approval is in place.